IAP15 Rec'd PCT/PTO 0 7 MAR 2007

Petition For Revival Of An Application For Patent Abandoned Unintentionally Under 37 CFR 1.137(b) (Small Entity)				Docket No. SII04				
In Re Application Of: DJang, Arthur								
Application No.	plication No. Filing Date Examiner Customer No. Group Art Unit Confirmation N							
10/563,713	07 January 2006							
Invention: Dietary supplement for promoting control of blood-sugar levels and associated pathology in type 2 diabetes								
		Attention: Office of Petition						
		Mail Stop Patition COMMISSIONER FOR PAT P.O. Box 1450 Alexandria, VA 22313-14	TENTS (	Legal Office				
	information or assistantormation at (571) 27	ance is needed in completing the 2-3282.	nis form, please	e contact Petition	ns			
The above-identified application became abandoned for failure to file a timely and proper response to a notice or action by the Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the Office notice or action plus any extension of time actually obtained.								
	APPLICANT HER	EBY PETITIONS FOR REVIVA	AL OF THIS AP	PLICATION				
NOTE: A grantable petition requires the following items:  (1) Petition fee; (2) Reply and/or issue fee; (3) Terminal disclaimer with disclaimer fee-required for all utility and plant applications filed before June 8, 1995; and for all design applications; and (4) Statement that the entire delay was unintentional.								
1. 🖾 A proposed reply to the above-identified Office Action:								
☐ is enclosed. ☐ was filed on January 11, 2007								
The proposed reply is in the form of: letter & check for \$405 in reply to Notice of Insufficient Fees								
2.  The issue fee:								
□ is e	nclosed. 🔲 w	as paid on						
3. Applicant claims small entity status. See 37 CFR 1.27								
4.   The abandoned application was a:								
design application. utility application. plant application.								
5. 🗌 A termi	A terminal disclaimer (and fee) disclaiming a period equivalent to the period of abandonment is enclosed.							
6. ⊠ Since the	Since this utility/plant application was filed on or after June 8, 1995, no terminal disclaimer is required.							

[Page 1 of 3]

Petition For Revival Of An Application For Patent Abandoned Unintentionally Under 37 CFR 1.137(b) (Small Entity)				Docket No. SII04			
In Re Application Of: DJang, Arthur							
Application No. Filing Date Examiner Customer No. Group Art Unit C							
10/563,713 07 January 2006							
Invention: Dietary supplement for promoting control of blood-sugar levels and associated pathology in type 2 diabetes							
		Calculation and Payment of	f Fees				
Enclosed are the fo	llowing fees:						
7. 🛭 Petition fe	e under 37 CFR 1.17	7(m) in the amount of:			\$750.00		
8.  Fee for re	ply in the amount of:						
9.							
10. Continuing application filing fee in the amount of:							
11.  Terminal disclaimer fee in the amount of:							
12. 🗆			······································				
			Total	fees enclosed:	\$750.00		
The fee of \$750 is to be paid as follows:							
<ul> <li>A check in the amount of the fee is enclosed.</li> <li>☐ The Director is hereby authorized to charge any fees which may be required, or credit any overpaymen to Deposit Account No.</li> </ul>							
Payment by credit card. Form PTO-2038 is attached.							
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							

# Petition For Revival Of An Application For Patent Abandoned Unintentionally Under 37 CFR 1.137(b) (Small Entity)

Docket No. SII--04

In Re Application Of:

DJang, Arthur

Application No. Customer No. Confirmation No. Filing Date Examiner Group Art Unit 10/563,713 07 January 2006

Invention:

Dietary supplement for promoting control of blood-sugar levels and associated pathology

in type 2 diabetes

#### **Statement**

The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional. [NOTE: The United States Patent and Trademark Office may require additional information if there is a question as to whether either the abandonment or the delay in filing a petition under 37 CFR 1.137(b) was unintentional (MPEP 711.03(c), subsections (III)(C) and (D)).]

### **WARNING:**

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent appliation that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioner/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

**President** 

Sante International, Inc.

Arthur H.K. DJang

Dated: 7 March 2007

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first chase mail in an envelope addressed to "Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37] CFR 1.8(a)] on March 2001

Signature of Person Mailing Correspondence

Arthur HKDJana

Typed or Printed Name of Person Mailing Correspondence

see attached letter of explanation

CC:

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: \$10/563,713

For: Dietary supplement for promoting control of blood-sugar

levels and associated pathology in type 2 diabetes

Applicant: DJang, Arthur

Filing Date: 07 January 2006

PCT Legal Office Mail Stop-PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# LETTER TO SUPPORT PETITION FOR REVIVAL OF AN A APPLICATION FOR PATENT APPLICATION UNINTENIONALLY ABANDONED (37 CFR 1.137(b))

#### Dear Sir:

- 1) This patent application was filed in the U.S. under 35 U.S.C. 371 (see copy of Transmittal letter, attached hereto).
- 2) Per page 3 of the Transmittal letter, all correspondence concerning this application is to be sent to:

Arthur H.K. DJang, MD Sante International, Inc. 111 West Second Street, Suite 4000 Jamestown, New York, 14701

- 3) After not having received any correspondence for this application by December 2006, I asked Mr. M. Bud Nelson (a patent attorney who assists me from time to time) in late December of 2006 to see if he could find out the status of the patent application.
- 4) Mr. M. Bud Nelson informed me that he searched the U.S. Patent and Trademark Office website, using Public PAIR, and found that a Notice of Insufficient Fees was mailed on 04/27/2006. In early January 2007, he forwarded to me a copy of that Notice of Insufficient Fees and advised me to pay the insufficient fees. There was no due date on the Notice of Insufficient Fees (see copy attached).

Page 2 10/563,713

Letter in Support of Petition to revive Application

5) I immediately sent a letter with a check for \$405 requested by the Notice of Insufficient Fees (see copy of my attached Letter dated January 11, 2007 with check

# 2266).

6) Neither my office personnel nor I received the mailed, original Notice of

Insufficient Fees.

7) No docket record has been prepared for just this application (MPEP 711.03(c)), as

this is a newly filed application, and no correspondence has yet to be received by the

U.S. Patent and Trademark Office. However, attached is a copy of our docket report

for our intellectual property portfolio during this time period, into which is entered

Office Actions we receive, and you will note that no Office Action had been received

for this application.

8) Lastly, I ask the U.S. Patent and Trademark Office to verify the insufficiency of

funds. In looking at the copy of Transmittal letter (attached hereto), the claims fee

of \$400 and the total of fees in lines 24, 25, and 26 was \$400. As shown by Form

PCT/US1/REV07, by the Applicant correctly claiming small entity status, the fees

were reduced by  $\frac{1}{2}$ . That means \$400 was due. Add \$40 for assignment recordal,

the Total Fees due was \$440. That is the amount I paid upon filing the application.

Based on the above facts, I look for a favorable action on this Petition to revive this

application which was unintentionally abandoned.

Santé International, Inc.

Arthur DJang

President

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Adver: COMMISSIONER FOR PATENTS P.O. See 1450 Alexandra, Viginia 27313-1450 www.uppn.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/563,713 Arthur H.K. Djang SII-04

Arthur H.K. Djang Sante International, Inc 111 West Second Street Suite 4000 Jamestown, NY 14701 INTERNATIONAL APPLICATION NO.

PCT/US04/22330

I.A. FILING DATE PRIORITY DATE

07/13/2004 07/17/2003

Date Mailed: 04/27/2006

# Notification of Insufficient Fees (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 01/07/2006
- Copy of the International Search Report filed on 01/07/2006
- Information Disclosure Statements filed on 01/07/2006
- Oath or Declaration filed on 01/07/2006
- Small Entity Statement filed on 01/07/2006
- Request for Immediate Examination filed on 01/07/2006
- U.S. Basic National Fees filed on 01/07/2006
- Assignment filed on 01/07/2006
- Priority Documents filed on 01/07/2006
- Specification filed on 01/07/2006
- Claims filed on 01/07/2006
- Abstracts filed on 01/07/2006

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

Additional claim fees of \$405 as a small entity, including any required multiple dependent claim fee, are
required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are
due.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$405 for a Small Entity:

Total additional claim fee(s) for this application is \$ 405

# ARTHUR H.K. DJANG, M.D., Ph.D., M.P.H.

DIPLOMATE, THE AMERICAN BOARD OF PATHOLOGY, ANATOMIC & CLINICAL DIPLOMATE, THE AMERICAN BOARD OF NUCLEAR MEDICINE website: www.santeintl.com

Santé International, Inc.
III West Second Street, Suite 4000
Jamestown, New York 14701
Telephone: 716-664-7255
Fax: 716-484-0169

Jan 11, 2007

United Blades Pedent & Trademark Office P.O. Box 1450 Alexandria, Virginia 22313

Dear Sir :

Please note. I did not receive the notification of insufficient Fear, until gesterday.

Enclosed please fine a chack # 40500 to cover the insufficient fee.

Sincerely your

Domo M.D.

2266
SANTE INTERNATIONAL INC
Jamestown, NY 14701-5207  Jamestown, NY 14701-5207  Jan 10, 2007
Date
Pay to the 71-5 Favent office \$ 405
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HSBC ◆
HSBC Bank USA Jamestown, NY 14701
For 10/563, 713
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# SANTÉ INTERNATIONAL TRADEMARK & PATENT STATUS updated 09/17/2006

**TRADEMARKS** 

1. Pending applications Santé ref #
Camellin Appl. No. 76663328 A-TM-23

Not yet examined

**Nutrimaxx** Appl No. 76657614 A-TM-22

Office Action sent on 15 Sept. 2006 requesting info

Deadline for Response: 15 March 2007

Perk Appl No. 76650277 A-TM-21

To be abandoned

**Thé Man** Appl No. 76639495 A-TM-20

Status: Notice of Allowance 06/20/2006

To be abandoned

**Pomecran** Appl No. 76639496 A-TM-19

To be abandoned

**Reiuvenin** Appl No. 76639497 A-TM-18

(dietary supplement)- suspended by Examiner

Rejuvenin Appl No. 76639498 A-TM-17

(facial cleansers, facial scrub)

Status: Notice of Allowance 05/09/2006

Deadline for Response: 09 May 2007 File Statement of Use or 2<sup>nd</sup> ext.

MÉGRANI Appl No.76639499 A-TM-16

Status: Notice of Allowance 05/09/2006

Deadline for Response: 09 May 2007 File Statement of Use or 2<sup>nd</sup> ext.

Kurcumijin Appl. No. 76622170 A-TM-14

Status: Notice of Allowance 07 February 2006

Deadline for Response: 07 February 2007 File Statement of Use or 2<sup>nd</sup> ext.

Oncolyn Appl. No. 76605918 (skin care products)

Status: Notice of Allowance 27 September 2005

Deadline for Response: 27 March 2007 File Statement of Use or 3<sup>rd</sup> ext.

Rejuvenin Appl No. 76544996 A-TM-13

Status: in process of issuing registration

Nasbesilin Appl No. 76544995 A-TM-11

Status: Notice of Allowance 10 August 2004

Deadline for Response: 10 February 2007 File Statement of Use

2. Registrations

Longevity Crystal Reg. No. 2198858 20 October 1998

Sections 8&15 Affidavits accepted

[Design] Reg. No. 2232622 16 March 1999

Sections 8&15 Affidavits accepted

Oncolvn Reg. No. 2408283 28 November 2000

filed Sections 8&15 Affidavits on 01/07/2006

Ultra Extract Reg. No. 2758507 02 September 2003

Next deadline: between 02 September 2008 and 02 September 2009 file Sections 8&15

**Affidavits** 

**Mellinol** Reg. No. 2784720 18 November 2003

Next deadline: between 18 November 2008 and 18 November 2009 file Sections 8&15

<u>Affidavits</u>

**Cardio CP** Reg. No. 2863452 13 July 2004

Next deadline: between 13 July 2009 and 13 July 2010 file Sections 8&15 Affidavits

Memory-Gold\* Reg. No. 2931327 08 March 2005

Next deadline: between 08 March 2010 and 08 March 2011 file Sections 8&15 Affidavits

Viranox Reg. No. 2935251 22 March 2005

Next deadline: between 22 March 2010 and 22 March 2011 file Sections 8&15 Affidavits

Bariatol Reg. No. 2942370 19 April 2005

Next deadline: between 19 April 2010 and 19 April 2011 file Sections 8&15 Affidavits

Live Longer Feel Better Look Younger Reg. No. 3133536 22 August 2006

Next deadline: between 22 August 2011 and 22 August 2012 file Sections 8&15 Affidavits

## **U.S. PATENTS**

1. Pending Applications

Dietary supplement for promoting control of blood-sugar

levels and associated pathology in type 2 diabetes

A) Filed US application on 07 Jan. 2006

B) Canadian patent application no. 2,532,332 Office Action Response due 08 March 2007

2. Issued U.S. Patents

Herbal extract composition containing Gynostemma pentaphyllum, Crataegus pinnatifidia,

and Camellia sinensis

US Pat. No. 5,910,308 Issued 08 June 1999

Next deadline: by 08 December 2010 (11.5 year maintenance fee payment)

Method for anticancer therapy using an herbal extract composition

US Pat. No. 6,168,795 Issued 02 January 2001

Next deadline: by 02 July 2008 (7.5 year maintenance fee payment)

Method for inhibiting pathogenesis associated with particle inhalation

US Pat. No. 6,713,094 Issued 30 March 2004

Next deadline: by 30 September 2007 (3.5 year maintenance fee payment)

				ATTORNEY'S DOCKET NUMBER				
ORM F	TO-139 -2005)	00 (Modified) U.S. PATENT AND TRADEN						
TRANSMITTAL LETTER TO THE UNITED STATES				SII-04				
DESIGNATED/ELECTED OFFICE (DO/EO/US)			U.S. APPLICATION NO. (If known, see 37 CFR 1.5)					
(	CON	<b>CERNING A SUBMISS</b>	SION UNDER 35 U.S.C. 371					
NTEF		ONAL APPLICATION NO. CT/US2004/022330	INTERNATIONAL FILING DATE 13 July 2004	PRIORITY DATE CLAIMED 17 July 2003				
TITLE		VENTION						
			of blood-sugar levels and associated patho	ology in Type 2 diabetics				
APPL	ICAN1	r(S) FOR DO/EO/US						
		thur H.K.						
Applic	ant he	erewith submits to the United State	es Designated/Elected Office (DO/EO/US) the	following items and other information:				
	_							
1.	⊠ □		ems concerning a submission under 35 U.S.C. JENT submission of items concerning a submi					
2.				2. 371(f)). The submission must include items (5), (6),				
3.	⊠.	(9) and (24) indicated below.	giri riadoriai examination procedures (55 6.6.6	2. G/ 1(///. The G22 meser mes				
4.	$\boxtimes$	The US has been elected (Article	e 31).					
5.	$\boxtimes$	A copy of the International Applic	cation as filed (35 U.S.C. 371 (c)(2))					
		a.  is attached hereto (req	uired only if not communicated by the Internal	tional Bureau).				
		b. 🖾 has been communicated by the International Bureau.						
	c. 🛛 is not required, as the application was filed in the United States Receiving Office (RO/US).							
6.		5 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1						
-		a. ☐ is attached hereto.						
		a.						
7.	$\boxtimes$	· · · · · · · · · · · · · · · · · · ·	International Application under PCT Article 1	9 (35 U.S.C. 371 (c)(3))				
	<ul> <li>a.          <ul> <li>are attached hereto (required only if not communicated by the International Bureau).</li> </ul> </li> <li>b.</li></ul>							
				ments has NOT expired.				
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R		The state of the second costs to the plaint under BCT Article 19 (35 LLS C 371(c)(3))						
8. 9.	⊠							
10.		☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT						
11		Article 36 (35 U.S.C. 371 (c)(5)).	ninary Examination Report (PCT/IPEA/409).					
11. 12.	⊠	A copy of the International Search						
		• •						
		3 to 23 below concern docume		¥1				
13.	$\boxtimes$		ement under 37 CFR 1.97 and 1.98.	27 CED 2.39 and 3.31 is included				
14.			cording. A separate cover sheet in compliance	WILL 37 OFR 3.20 drie 3.31 is illoluded.				
15.		A FIRST preliminary amendmen	ıt.					
16.		A SECOND or SUBSEQUENT	oreliminary amendment.					
17.		A substitute specification.						
18.	$\boxtimes$	A power of attorney and/or chan						
19.			e sequence listing in accordance with PCT Rul					
20.		A second copy of the published International Application under 35 U.S.C. 154(d)(4).						
21.		A second copy of the English la	nguage translation of the International Applica	tion under 35 U.S.C. 154(d)(4).				
22.	$\boxtimes$	Express Mail Label No. E	Q 131242061 US					
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PCTUS1/REV07

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATIO	N NO (if known, see	37 CFR 1.	5) INTERNATIONAL	APPL	ICATION NO.		ATTORNEY'S DOC	KET NUMBER
<u> </u>			PCT/US20	04/0	22330		SII-04	1
23. Other items or information:								
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							CALCULATIONS	PTO USE
	g fees have been s ational fee		: 		<b>\$</b> :	300		
	ation fee (37 CFR						\$300.00	
If the written opin	ion prepared by IS.	A/US or t	he international preliminar	y exa	mination rep		\$ \$0.00	
n ' '			isfy provisions of PCT Arti			0 200		
	fee (37 CFR 1.492				Ψ,	200		
If the written opin	ionof the ISA/US o	r the Inte	mational preliminary exam					
Search fee (37 C	FR 1.445(a)(2)) ha	s been pa	provisions of PCT Article aid on the international ap	plicat	ion to the	0	\$ \$100.00	
			SA other than the US and			100	Š	
previously	communicated to the	ne US by	the IB		\$4	400		
	s				\$:	500	\$ \$400.00	
			ings filed in paper over 10	0 she	ets (excludir	ng	<b>3400.00</b>	
sequence lis	iting in compliance nic medium) (37 Cl	with 37 C	ings filed in paper over 10 CFR 1.821(c) or (e) or com (j)). neets of paper or fraction I	pute	r program list	ting		
Total Sheets	Extra Sheets		mber of each additional 50 or RATE on thereof (round <b>up</b> to a whole					
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			e search fee, examination of the national stage (37 (			r	\$	
CLAIMS	NUMBER F		NUMBER EXTRA		RATE			
Total claims	19	- 20 =	0	х	\$50.0	00	\$ \$0.00	
Independent clair	ms 5	- 3=	2	x	\$200.0	00	\$ \$400.00	
MULTIPLE DEPENDENT CLAIMS (if applicable)					00	\$ \$0.00		
TOTAL OF ABOVE CALCULATIONS =					\$ \$800.00			
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					\$ \$400.00			
SUBTOTAL =					\$ \$400.00			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					s \$0.00			
TOTAL NATIONAL FEE =					\$ \$400.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +				\$ \$40.00				
TOTAL FEES ENCLOSED =				\$ \$440.00				
-							Amount to be	\$
							Amount to be	\$

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. to cover the above fees is enclosed. a. X A check in the amount of \$ to cover the above fees. in the amount of \$ A duplicate copy of this sheet is enclosed. . A duplicate copy of this sheet is enclosed. to Deposit Account No. d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Arthur H.K. DJang, M.D. Sante International, Inc. M. Bud Nelson 111 West Second Street, Suite 4000 NAME Jamestown, New York 14701 35,300 **REGISTRATION NUMBER** 07 January 2006 DATE